



Practitioner's Docket No. 22561-

01-17-02

Receipt
PATENT \$
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tsao
Serial No.: 09/854,415
Filed: May 10, 2001
For: Security System For High Level Transactions Between Devices

Group No.: 2131
Examiner: Not Yet Assigned

Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed July 16, 2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION AND POWER OF ATTORNEY

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

SMALL ENTITY STATUS

- III. Applicant claims small entity status. See 37 CFR 1.27.

COMPLETION FEES

| | |
|--|----------|
| 1. Filing Fee: Original patent application (37 C.F.R. 1.16(a)) | \$370.00 |
| 2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c)) | \$252.00 |
| 3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b)) | \$84.00 |
| 4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e)) | \$65.00 |
| 5. Assignment (See "ASSIGNMENT COVER SHEET") | \$0 |
| 6. Total completion fees | \$771.00 |

EXTENSION OF TIME

- IV. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.
Fee Due: \$460.00

REQUEST FOR CORRECTED FILING RECEIPT

- V. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

| Error in | Correct data |
|------------------------------------|--|
| 1. Applicant name and/or addresses | --Victor Y. Tsao, Park City, UT; John Xidos, Nova Scotia, CANADA-- |

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

- VI. The total fee due is: Completion fees \$771.00 Extension of Time Fees \$460.00 Corrected Filing Receipt Fees \$25.00

TOTAL FEE DUE \$1,256.00

PAYMENT OF FEES

- VII. Charge Account No. 23-2415 (22561-703) in the amount of \$1,256.00.
Please charge Account No. 23-2415 (22561-703) for any fees that may be due by this paper.

David J. Wetz, Reg. No. 38,362

Wilson Sonsini Goodrich & Rosati
650 Page Mill Road
Palo Alto, CA 94304
(650) 493-9300
Customer No. 021971

01/23/2002 SFELEK1 00000115 09854415
05 TC218
01/23/2002 SFELEK1 00000115 09854415
05 FC218 460.00 CH


 #4
 UNITED STATES PATENT AND TRADEMARK OFFICE

 JAN 15 2002
 RECEIVED & TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/854,415 | 05/10/2001 | Tsao | 22561-703 |

CONFIRMATION NO. 7670

FORMALITIES LETTER



OC000000006297815

 021971
 WILSON SONSINI GOODRICH & ROSATI
 650 PAGE MILL ROAD
 PALO ALTO, CA 943041050

Date Mailed: 07/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$664.
 - \$504 for 28 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1504.

A copy of this notice **MUST** be returned with the reply.

 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/23/2002 SFELEI1 00000115 232415 09854415

 01 FC:201 370.00 CH
 02 FC:202 84.00 CH
 03 FC:203 252.00 CH
 04 FC:205 65.00 CH

RECEIVED

Page 1 of 3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/854,415 | 05/10/2001 | 2131 | 0.00 | 22561-703 | 11 | 48 | 5 |

CONFIRMATION NO. 7670

FILING RECEIPT



OC000000006297814

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

Date Mailed: 07/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

-- Victor Y. Tsao, Residence Not Provided, -- Park City, UT;
-- John Xidos, Nova Scotia, CANADA --

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/203,277 05/10/2000

Foreign Applications

If Required, Foreign Filing License Granted 07/13/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Security system for high level transactions between devices

Preliminary Class

713

Data entry by : ALEMEWORK, SELAMAWIT

Team : OIPE

Date: 07/16/2001